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# Genetic manipulation of carotenoid biosynthesis and photoprotection

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There are multiple complementary and redundant mechanisms to provide protection against photooxidative damage, including non-photochemical quenching (NPQ). NPQ dissipates excess excitation energy as heat by using xanthophylls in combination with changes to the light-harvesting complex (LHC) antenna. The xanthophylls are oxygenated carotenoids that in addition to contributing to NPQ can quench singlet or triplet chlorophyll and are necessary for the assembly and stability of the antenna. We have genetically manipulated the expression of the  $\varepsilon$ -cyclase and  $\beta$ -carotene hydroxylase carotenoid biosynthetic enzymes in *Arabidopsis thaliana*. The  $\varepsilon$ -cyclase overexpression confirmed that *lut2* (lutein deficient) is a mutation in the  $\varepsilon$ -cyclase gene and demonstrated that lutein content can be altered at the level of mRNA abundance with levels ranging from 0 to 180% of wild-type. Also, it is clear that lutein affects the induction and extent of NPQ. The deleterious effects of lutein deficiency on NPQ in *Arabidopsis* and *Chlamydomonas* are additive, no matter what the genetic background, whether npq1 (zeaxanthin deficient), *abal* or antisense  $\beta$ -hydroxylase (xanthophyll cycle pool decreased). Additionally, increasing lutein content causes a marginal, but significant, increase in the rate of induction of NPQ despite a reduction in the xanthophyll cycle pool size.

> **Keywords:** carotenoid; lutein; xanthophyll cycle; photoprotection; non-photochemical quenching (NPQ)

### 1. INTRODUCTION

### (a) Carotenoid biosynthesis and function

Carotenes and their oxygenated derivatives, the xanthophylls, are integral components of the photosynthetic apparatus and consequently are essential to plant viability, contributing to numerous processes—including assembly and stability of pigment–protein complexes, light harvesting and photoprotection. Four carotenoids, lutein,  $\beta$ -carotene, violaxanthin and neoxanthin, are nearly ubiquitous among higher plants (figure 1) (Young 1993*a*,*b*) with one of the few exceptions being the parasitic angiosperm *Cuscuta reflexa* where neoxanthin is replaced by lutein-5,6-epoxide (Bungard *et al.* 1999).

The critical roles of carotenoids are reflected in the tight regulation of carotenoid biosynthesis during plant development and adaptation to environmental stress. Their biosynthesis in plants has been reviewed elsewhere (Sandmann 1994; Hirschberg *et al.* 1997; Cunningham & Gantt 1998). In brief, lycopene is either cyclized twice by the  $\beta$ -cyclase to produce  $\beta$ -carotene or once each by the  $\beta$ -cyclase and  $\varepsilon$ -cyclase (*lut2*) to produce  $\alpha$ -carotene (figure 1). The  $\alpha$ -carotene rings are hydroxylated by the  $\varepsilon$ -hydroxylase (*lut1*) and  $\beta$ -hydroxylase to make lutein.  $\beta$ -carotene is hydroxylated twice by the  $\beta$ -hydroxylase to make zeaxanthin. The hydroxyl groups are added to the ends of each ring resulting in the xanthophylls being more polar than  $\beta$ -carotene. Zeaxanthin is epoxidated twice to make violaxanthin, which is subsequently

modified to make neoxanthin. Mutations have been identified in a number of enzymes in the pathway and in a range of species; those that disrupt xanthophyll biosynthesis are listed in table 1.

### (i) Cyclization reactions

The cyclization reactions occur at the branch point in the pathway resulting in  $\beta$ ,  $\beta$ -carotenoids such as violaxanthin or  $\beta,\epsilon$ -carotenoids such as lutein. Insight into the enzymes has come from isotope labelling, the use of herbicides that inhibit cyclization and genetic studies (Chunaev et al. 1991; Bramley 1993; Bishop 1996; Pogson et al. 1996). Lesions in the  $\beta$ -cyclase are lethal, resulting in the accumulation of the monocyclic  $\varepsilon, \Psi$ -carotene in Arabidopsis (B. J. Pogson & D. DellaPenna, unpublished data), indicating a requirement for bicyclic carotenoids for plant viability. The accumulation of  $\varepsilon, \Psi$ -carotene indicates that the Arabidopsis E-cyclase is incapable of cyclizing both ends of the linear lycopene in contrast to all  $\beta$ -cyclases and the lettuce  $\epsilon$ -cyclase (Phillip & Young 1995), which is in agreement with the activity of the cyclases expressed in Escherichia coli (Cunningham et al. 1996). Mutations have been identified that apparently disrupt the activity of the  $\varepsilon$ -cyclase enzyme in Arabidopsis (lut2), Scenedesmus (C-2A-34) and Chlamydomonas (lor1) (see table 1).

The  $\varepsilon$ -cyclase is believed to be a key rate-limiting step, based on *in vivo* and *in vivo* studies (Cunningham *et al.* 1996; Pogson *et al.* 1996). *In vivo*, the *lut2* mutation results in lutein being replaced by an equimolar increase in  $\beta$ , $\beta$  xanthophylls, specifically violaxanthin and antheraxanthin.

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Figure 1. Carotenoid biosynthetic pathway in higher plants. Biosynthetic mutations, *lut1*, *lut2*, *aba1* and *npq1* are shown.  $\beta$ LCY,  $\beta$ -cyclase;  $\epsilon$ LCY,  $\epsilon$ -cyclase;  $\beta$ OHase,  $\beta$ -hydroxylase;  $\epsilon$ OHase,  $\epsilon$ -hydroxylase; ZE, zeaxanthin epoxidase; VDE, violaxanthin de-epoxidase; NS, neoxanthin synthase.

Heterozygotes are not completely recessive, that is lutein is slightly reduced, which is consistent with limiting levels of the  $\varepsilon$ -cyclase (Pogson *et al.* 1996). Sustained changes in light intensity can alter flux down the two branches, which reflects both changes in photosystem composition (Anderson & Osmond 1987; Senger *et al.* 1993; Young 1993*a*; Sonoike 1998) and possibly regulation of  $\varepsilon$ -cyclase enzyme mRNA (Hirschberg *et al.* 1997). If the latter is the case, then genetic manipulation of  $\varepsilon$ -cyclase mRNA levels should alter the proportion of flux down the two branches.

### (ii) Hydroxylation reactions

It is presumed that there are two hydroxylase enzymes, an  $\varepsilon$ -hydroxylase and a  $\beta$ -hydroxylase based on the *lut1* mutation and isotope labelling studies, although only the  $\beta$ -hydroxylase has been cloned (Milborrow *et al.* 1982; Pogson *et al.* 1996; Sun *et al.* 1996). There have been no reports of herbicides specific for the hydroxylation reactions and only one mutation has been reported that clearly disrupts one of the hydroxylation reactions—the *lut1* mutation of *Arabidopsis*, which blocks  $\varepsilon$ -ring hydroxylation (Pogson *et al.* 1996).

One possible explanation for the lack of  $\beta$ -hydroxylase mutations is that there are multiple  $\beta$ -hydroxylase genes, which appears to be the case in *Arabidopsis* and *Capsicum annum* (Bouvier *et al.* 1996; Sun *et al.* 1996; Tian *et al.* 1999). The alternative explanation is that the  $\varepsilon$ -hydroxylase retains some  $\beta$ -hydroxylase activity providing functional redundancy. A number of the carotenoid enzymes theoretically arose from gene duplications and divergence and some still retain the enzymatic activity of the ancestral protein (Bouvier *et al.* 1994). We expressed an antisense

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 $\beta$ -hydroxylase transgene in *A. thaliana* with the result of effectively reducing the levels of  $\beta$ -carotene-derived xanthophylls, neoxanthin and violaxanthin (Rissler & Pogson 2000). However, this strategy did not eliminate the  $\beta$ -hydroxylation reactions, which lends support to the thesis of functional redundancy by the  $\varepsilon$ -hydroxylase. This is being further investigated by using the *lut1* and *lut2* mutations in combination with the antisense- $\beta$ -hydroxylase.

### (b) Photosystem assembly and photoprotection

Photosystem II consists of a core complex including CP43, CP47, Dl/D2 encircled by the antenna, comprising an inner ring of minor light-harvesting complexes (LHCs) surrounded by trimers of the major LHC IIb (Lhcbl/2) (Dreyfuss & Thornber 1994; Jansson 1994). In brief,  $\beta$ -carotene is bound to D1/D2 and the xanthophylls to the antenna proteins. The lethal photobleaching in the absence of cyclic carotenoids in plants was thought to reflect the critical role of  $\beta$ -carotene in the reaction centre. This is based on the fundamental photoprotective role of  $\beta$ -carotene in triplet quenching in bacteria, which requires the carotenoid to be in Van der Waals contact (ca. 0.4 Å) to enable the electron exchange mechanism of triplet quenching (Krinsky 1971). However, in plants the oxidative potential of the chlorophyll special pair,  $P_{680}$ , is such that it would oxidize any carotenoids in close proximity. In fact, instead of triplet quenching, a cationic  $\beta$ -carotene may donate an electron to the oxidized special pair,  $P_{680}^+$ , and thereby provide a limited form of photoprotection perhaps via electron transport involving chl<sub>z</sub> and Cyt b<sub>559</sub> (Hanley et al. 1999; Vrettos et al. 1999).

### Table 1. Xanthop hyll and NPQ mutations

(References are Koornneef et al. (1982); Bishop (1996), Marin et al. (1996), Pogson et al. (1996), Niyogi et al. (1997, 1998), Li et al. (2000).)

1						
	mutation	species	protein			
5	aba1 (np q2)	Arabidopsis thaliana	zeaxanthinepoxidase			
	aba2	Nicotiana tabacum	zeaxanthin epoxidase			
	nþ q1	A. thaliana	violaxanthinde-epoxidase			
	nþ q1	Chlamydomonas reinhardtii	violaxanthin de-epoxidase			
	lut1	A. thaliana	ε-hydroxylase <sup>a</sup>			
	lut2	A. thaliana	ε-cyclase			
H	lor1	C. reinhardtii	E-cyclase <sup>a</sup>			
_	C-2A'-34	Scenedesmus obliquus	ε-cyclase <sup>a</sup>			
Ц	np q4	A. thaliana	PsbS (CP22)			

<sup>a</sup>Indicates mutations whose genetic identifications are not confirmed. Alternative names are given in parentheses.

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If  $\beta$ -carotene is not involved in quenching of singlet or triplet excited chlorophyll, there is the prospect of a more fundamental role for the xanthophylls in these processes. However, there are no xanthophylls proximal to  $P_{680}$ . Instead, they are located in the LHCs and the inner antenna proteins, CP43 and CP47, where they could provide protection by quenching singlet and triplet excited states of chlorophyll. As yet, no one has had access to an oxygenic photosynthetic organism lacking all xanthophylls so it has not been possible to define the respective functions of xanthophylls and β-carotene in plants.

In vitro reconstitution studies have shown that xanthophylls are required for folding and stability of the LHCs including Lhcbl/2, Lhcb4 (CP29) and Lhcb5 (CP26) (Plumley & Schmidt 1987; Giuffra et al. 1996; Ros et al. 1998). The binding site for lutein that is essential for folding and a second site that can bind either violaxanthin or neoxanthin have recently been identified (Bassi et al. 1999). In the green alga Scenedesmus, the absence of lutein results in reduced LHCs and, as a consequence, an increase in the chlorophyll a/b ratio (Heinze *et al.* 1997). A similar, but less pronounced, trend is observed in the Chlamydomonas orthologue, lor1, supporting the view that lutein has been evolutionarily conserved in all green plants due to a fundamental role in LHC assembly (Chunaev et al. 1991). However, in higher plants, elimination of lutein has little, if any, effect on growth and development (Pogson et al. 1996, 1998). Thus, despite evidence that lutein is more effective than other xanthophylls in U reconstitution studies, its implied identification in the crystal structure of the LHC and its evolutionary conservation (Plumley & Schmidt 1987; Kuhlbrandt et al. 1994), it is not essential in vivo (Pogson et al. 1996). This does not show that lutein is dispensable but rather that it is replaceable by xanthophylls with similar chemical composition and structure. The replacement of the wildtype xanthophylls (lutein, violaxanthin and neoxanthin) by zeaxanthin in the double mutant, lut2 abal, caused delayed greening with high (about one-third) seedling mortality and reduced NPQ (Pogson et al. 1998). Yet, the plants are still viable and the photosynthetic rate and chlorophyll a/b ratio approach wild-type levels in mature leaves. Apparently, there is a substantial degree of func-

tional complementarity between structurally similar xanthophylls (Pogson et al. 1998). The question remains as to what are the limits of this capacity for carotenoids to substitute for each other?

### (c) Non-photochemical quenching

In addition to their roles in assembly, some xanthophylls are involved in photoprotection by quenching chlorophyll fluorescence via non-radiative dissipation in a process referred to as non-photochemical quenching (NPQ) (Demmig-Adams & Adams 1992; Frank et al. 1994; Gruszecki et al. 1999). In fact, the distinct roles of individual xanthophylls are evidenced in the high lightinduced accumulation of 'quenching' carotenoids, zeaxanthin and antheraxanthin, by the de-epoxidation of violaxanthin via the xanthophyll cycle (Yamamoto 1962; Niyogi 1999). Exposure to high photon flux densities results in an acidification of the thylakoid lumen, which induces the xanthophyll cycle and the protonation of two glutamate residues of Lhcbl/2 (Walters et al. 1996; Gilmore et al. 1998; Kramer et al. 1999). Collectively, NPQ leads to non-radiative quenching of singlet chlorophyll fluorescence, thereby protecting against the generation of chlorophyll triplets and thus oxidative damage from singlet oxygen and other reactive oxygen species (Niyogi 1999).

The function of the xanthophyll cycle is consistent with the predicted excited singlet state energy levels  $(S_1)$ derived from the energy gap law for each of the carotenoids. Neoxanthin and violaxanthin have predicted S<sub>1</sub> energy levels higher than that for chlorophyll  $(Q_X \text{ and }$  $Q_{\rm Y}$ ) and, accordingly, these molecules can effectively transfer excitation energy to chlorophyll (Gruszecki et al. 1999). Recently, the  $S_1$  fluorescence spectra for violaxanthin and zeaxanthin were determined and the S<sub>1</sub> energy levels calculated by this method support the role of violaxanthin in resonance energy transfer to chlorophyll (Frank *et al.* 2000). Conversely, the predicted  $S_1$  state of zeaxanthin is lower than that of chlorophyll, theoretically promoting fluorescence quenching (Frank et al. 1994, 2000). The photophysical properties of lutein and antheraxanthin would theoretically enable either light harvesting or quenching (Frank et al. 1994). In fact, the recent direct measurement of the xanthophyll  $S_1$  energy states and their

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corresponding spectral overlap with the excited singlet state of chlorophyll would suggest that either violaxanthin or zeaxanthin can harvest or quench (Frank *et al.* 2000). However, this is clearly not the case *in vivo*, where violaxanthin and zeaxanthin have defined roles in impeding and facilitating quenching, respectively. Factors other than just the photophysical properties of the carotenoids must be involved (Horton *et al.* 1996). The roles of lutein and antheraxanthin *in vivo* are still being clarified (Gilmore 2000; Gilmore *et al.* 1998; Pogson *et al.* 1998).

One of the ways that the mechanisms of NPQ is being elucidated is by analysing quenching in carotenoid biosynthetic and NPQ mutants of *Chlamydomonas* and *Arabidopsis* (table 1). npq1 is a lesion in the violaxanthin de-epoxidase and exhibits markedly reduced NPQ, presumably due to the lack of antheraxanthin and zeaxanthin, whereas npq4, which recently has been identified as a lesion in PsbS (CP22), has almost no NPQ yet still accumulates antheraxanthin and zeaxanthin (Niyogi *et al.* 1998; Li *et al.* 2000). The npq mutants are discussed in detail elsewhere (Baroli & Niyogi, this issue). In brief, insight from such studies supports a major role for zeaxanthin and PsbS in the quenching process.

Significantly, *npq1* mutants still had some reversible NPQ, which could be eliminated by preventing lutein biosynthesis (Niyogi et al. 2000). This supports our earlier observations that the lutein-deficient lines of A. thaliana, lut1 and lut2, exhibit a delayed induction of NPQ kinetics as well as a lower maximal NPQ (Pogson et al. 1998). The induction kinetics of NPQ can be accelerated in constitutive zeaxanthin-accumulating mutants, abal and lut2 abal, despite a reduced level of maximum NPQ and a loss of LHC IIb trimers (Tardy & Havaux 1996; Hurry et al. 1997; Pogson et al. 1998). Analyses of carotenoid and NPQ mutants, and the effects of LHC IIb protonation on NPQ, suggest that antenna structure, a functional PsbS protein and xanthophyll molecules all influence quenching kinetics. The mechanisms for these interactions, however, remain unclear. Is there a direct involvement of 'quenching' carotenoids with PsbS and does this include zeaxanthin, antheraxanthin and lutein? Alternatively, does lutein facilitate changes in antenna structure that promote quenching and if so how do antenna proteins and PsbS interact?

The carotenoids aid in the capture of light, provide > photoprotection against excess light and promote antenna assembly. Recent work has helped to define how the most abundant carotenoid, lutein, might contribute to these processes. However, much remains to be learned. The Udual role of xanthophylls in LHC assembly and photoprotection makes it difficult to design definitive experiments because changes in LHC structure may alter photoprotection. Therefore, we are taking a new approach to further elucidate the roles of lutein and the xanthophyll cycle pigments by under- and overexpressing key genes in the carotenoid biosynthesis pathway. Our in *vivo* approach, which replaces one or more xanthophylls with their physiological homologue, minimizes problems with perturbations to the antenna. These transgenic plants are being used to study the regulation of the  $\varepsilon$ -cyclase and  $\beta$ -hydroxylase *in vivo* and to understand the effects of altered xanthophyll content on LHC structure and photoprotection.



Figure 2. Lutein levels in wild-type (col), *lut2* and 24  $T_2$  plants overexpressing the  $\varepsilon$ -cyclase transgene in a wild-type background. Pigments were extracted from leaves of two- to five-week-old plants and quantified by HPLC. Values are presented as per cent of wild-type levels for which lutein content was 168 mmol mol<sup>-1</sup> chl *a*.

### 2. METHODS

## (a) Transformation of A. thaliana with the sense $\varepsilon$ -cyclase and the antisense $\beta$ -hydroxylase transgene and growth of plants

The transgene pBP244 was constructed to contain the E-cyclase cDNA in the sense orientation under the control of the cauliflower mosaic virus (CaMV) promoter in the part7/27 binary vector (Gleave 1992). The plasmid pBP254 was constructed by cloning the Arabidopsis β-hydroxylase cDNA (GenBank accession number U58919) in the antisense orientation in the same vector using the same promoter (Rissler & Pogson 2000). An npt II kanamycin resistance gene provided for antibiotic selection of the transgenic plants (Gleave 1992). Both constructs were independently transformed into Arabidopsis thaliana, Columbia ecotype and lut2, using the floral dip method followed by vacuum infiltration (Clough & Bent 1998). Seeds were harvested and transgenic generation one (T1) seedlings were selected for kanamycin resistance on Murashige-Skoog media containing 2% sucrose and 30 µg ml<sup>-1</sup> kanamycin. Plants were grown at 21 °C under continuous light  $(80 \,\mu\text{mol}\,\text{m}^{-2}\,\text{s}^{-1})$ . T<sub>2</sub> seeds were collected from the kanamycin resistant plants and grown in soil under an 18 h lightdark cycle (150  $\mu$ mol m<sup>-2</sup> s<sup>-1</sup>) at 21 °C.

### (b) Pigment analysis and measuring chlorophyll fluorescence

Pigments were extracted from leaves of two- to five-week-old plants and analysed by HPLC chromatography (Hewlett-Packard series 1100) as described previously (Pogson *et al.* 1998). Chlorophylls were quantified by HPLC and by using published extinction coefficients (Porra *et al.* 1989). Chlorophyll fluorescence measurements and NPQ calculations were performed on intact leaves as described previously (Niyogi *et al.* 1998; Pogson *et al.* 1998).

### 3. RESULTS AND DISCUSSION

### (a) Establishing transgenic plants with a range of carotenoid compositions

(i) Increased lutein by overexpressing the  $\epsilon$ -cyclase

We screened wild-type and lut2 transgenics for insertion of the BP244  $\varepsilon$ -cyclase transgene by kanamycin Table 2. Carotenoid levels in A. thaliana leaves overexpressing the z-cyclase transgene (sense z-cyclase BP244)

	neoxantinii, v, vioiaxantinii, A, antile	in, v, violaxantini, A, antheraxantini, Z, zeaxantini, L, iuteri, p, p-carotene.)						
		Ν	V	А	Z	L	β	total
	wt	50	70	0	0	170	90	380
_	lut2	45	150	20	5	0	110	330
	wt sense &-cyclase (BP244-C31)	50	45	0	0	250	110	460
	$lut2$ sense $\varepsilon$ -cyclase (BP244-Z67)	55	50	0	0	220	100	430

(Values given are for carotenoid content expressed as mmolmol<sup>-1</sup> chlorophyll *a*; *wt*, wild type; *lut2*, lutein deficient; N, neoxanthin; V, violaxanthin; A, antheraxanthin; Z, zeaxanthin; L, lutein;  $\beta$ ,  $\beta$ -carotene.)

Table 3. Carotenoid levels in wild-type and lut2 A. thaliana leaves expressing the antisense  $\beta$ -hydroxylase

(Values given are for carotenoid content expressed as mmol mol<sup>-1</sup> chlorophyll *a*; wt, wild type; *lut2*, lutein deficient; N, neoxanthin; V, violaxanthin; A, antheraxanthin; Z, zeaxanthin; L, lutein;  $\beta$ ,  $\beta$ -carotene;  $\beta$ OHase,  $\beta$ -hydroxylase.)

							, , ,	
)		Ν	V	А	Z	L	β	total
	wt wt anti-βOHase (BP254-K1)	$\begin{array}{c} 62\pm2\\ 25\pm2\\ \end{array}$	$59 \pm 2$ $21 \pm 3$	0 0	0 0	$172 \pm 18$ $164 \pm 15$	$91 \pm 2$ $111 \pm 16$	380 320
	<i>lut2</i> <i>lut2</i> anti-βOHase (BP254-B1)	$\begin{array}{c} 41\pm 4\\ 34\pm 4\end{array}$	$\begin{array}{c} 124\pm2\\72\pm2\end{array}$	$\begin{array}{c} 25\pm2\\ 22\pm2\end{array}$	$\begin{array}{c} 6\pm2\\ 6\pm2\end{array}$	0 0	$\frac{136 \pm 2}{162 \pm 3}$	$\frac{330}{300}$

resistance and increased lutein content. Significantly, lutein accumulation was restored in all transformed *lut2* lines. The *lut2* mutation has a biochemical phenotype consistent with a disruption to the  $\varepsilon$ -cyclase, i.e. a complete lack of carotenoids with an epsilon ring (Pogson *et al.* 1996). Additionally, the *lut2* and  $\varepsilon$ -cyclase loci map to the same region of the genome, that is the bottom of chromosome 5. Thus, data from biochemical, genetic and functional complementation experiments all support the conclusion that *lut2* is a mutation in the  $\varepsilon$ -cyclase gene.

Figure 2 expresses lutein content as a percentage of wild-type for a range of wild-type plants transformed with the BP244  $\varepsilon$ -cyclase transgene. This procedure identified dozens of plants with altered lutein content with levels ranging from 10 to 150% of wild-type levels in the T<sub>1</sub> generation, indicating a combination of co-suppression and overexpression. In the T<sub>2</sub> generation, most of the 25 transformed lines segregated 3:1 for kanamycin resistance, indicating one insertion, some segregated 15:1, indicating two, and lutein content ranged from 5 to 180% of wild-type, which was the same or higher than the respective parental T<sub>1</sub>. Interestingly, in the T<sub>3</sub> generation the lutein content of some high accumulating lines dropped to wild-type or lower levels, perhaps suggesting a subsequent gene silencing, although this has not been confirmed.

The increase in lutein of up to 180% preferentially resulted in a reduction in violaxanthin content and, in some lines, an increase in the total carotenoid pool (table 2). We did not detect a marked change in  $\beta$ -carotene or neoxanthin and neither  $\alpha$ -carotene nor  $\alpha$ -cryptoxanthin were detected when flux down either branch of the pathway was increased, which indicates that the hydroxylation reactions are not limiting. The change in the total pool size requires more detailed study to determine if there is a feedback effect due to the transgene. Many studies that have genetically altered xanthophyll accumulation have demonstrated that carotenoid accumulation is tightly regulated (Chunaev *et al.* 1991; Rock & Zeevaart 1991; Bishop 1996; Pogson *et al.* 1996, 1998; Rissler &



Figure 3. Non-photochemical quenching of chlorophyll fluorescence (NPQ) in wild-type, *lut2* and plants expressing the antisense  $\beta$ -hydroxylase transgene (anti- $\beta$ OHase). Leaves from five-week-old plants were exposed to actinic light (1000 µmol m<sup>-2</sup> s<sup>-1</sup>, high light) from 0 to 170 s with 10000 µmol m<sup>-2</sup> s<sup>-1</sup> pulses every 10 s. Actinic light was switched off (dark) at 170 s. Open bar, actinic light on; closed bar, actinic light off. NPQ was calculated as  $(F_m - F'_m)/F'_m$  at 10 s intervals.

Pogson 2000). That is, whenever possible, the alteration in levels of one xanthophyll results in a converse change in levels of a structurally or functionally similar carotenoid in preference to a more global affect on all carotenoids.

### (ii) Antisense inhibition of the $\beta$ -hydroxylase: biosynthesis and LHC assembly

An antisense  $\beta$ -carotene hydroxylase transgene, BP254, in wild-type plants resulted in a maximum reduction in violaxanthin of *ca.* 64% and in neoxanthin of 41% for both T<sub>1</sub> and T<sub>2</sub> generations (Rissler & Pogson 2000). In addition, there was a 5% reduction in lutein, a 22%

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### Table 4. Summary of xanthophyll and NPQ levels in carotenoid biosynthetic mutants and transgenics

(The measure for NPQ incorporates both the rapidly reversible (' $q_E$ ') and slowly reversible ( $q_I$ ) components. We have estimated  $q_E$  as maximal NPQ minus NPQ after 30s of dark relaxation (' $q_E$ '). This illustrates that the rapidly reversible component is nearly eliminated in the double mutants, npq1 lut2 and npq1 lor1. V+A+Z (violaxanthin+antheroxanthin+zeaxanthin);  $\beta$ OHase,  $\beta$ -hydroxylase.)

BIO	species	line or mutation	lutein (% wt)	$\begin{array}{c} V+A+Z\\ (\ensuremath{^{\circ}\!$	NPQ at 30 s (% wt)	max. NPQ (% wt)	'q <sub>E</sub> ' ( ‰ wt)
	Chlamydomonas reinhardti	nþ q l <sup>a</sup>	100	102	100 <sup>a</sup>	80	60
		lorIa	0	306	$88^{a}$	63	50
Ţ		np q1 lor1 <sup>a</sup>	0	304	$38^{a}$	28	15
$\leq$	Arabidopsis thaliana	$nb q I^{a}$	100	116	53 <sup>a</sup>	30	14
SH	T T	$lut2^{a}$	0	262	24 <sup>a</sup>	67	62
Э'n		$npq1 lut2^{a}$	0	350	13 <sup>a</sup>	24	6
a –	4	anti-βOHase <sup>a</sup>	95	35	69	84	n.c. <sup>b</sup>
ЩQ	)	<i>lut2</i> anti-βOHase	0	169	14	50	n.c.
T T S O		130% lutein	130	69	130	104	n.c.
HILOSOPHICAL FRANSACTIONS	<sup>a</sup> Data derived from Niyogi <i>et al.</i> (19 <sup>b</sup> Not calculated. increase in $\beta$ -carotene and a carotenoid levels (table 3). The the pathway resulting in a red carotenoids is something not of <i>abal</i> mutations. In <i>lut1</i> there	97, 2000), Pogson <i>et al.</i> (1998) and a 16% reduction in total e disruption of one step in uction in the total pool of bserved for <i>lut1</i> , <i>lut2</i> or the was a marked increase in	d Rissler & F chloroph cantly lo explanati increase which h	a/b ratic yll $a/b$ ratic ower than ion for the l in Lhcbl/2 ave a chlor	was $2.33 \pm$ wild-type (2 ower ratio i trimers (Ri cophyll $a/b$	0.1, which v $2.85 \pm 0.28$ ). s that there assler & Pog ratio of 1.3	was signifi- A possible may be an gson 2000), (Peter &

increase in  $\beta$ -carotene and a 16% reduction in total carotenoid levels (table 3). The disruption of one step in the pathway resulting in a reduction in the total pool of carotenoids is something not observed for lut1, lut2 or the abal mutations. In lutl there was a marked increase in violaxanthin and antheraxanthin, despite the fact that the disruption was after the branch point in the pathway, implying feedback or sink-driven regulation (Pogson *et al.*) 1996). However, the antisense hydroxylase plants cannot have a corresponding increase in lutein to compensate for lost violaxanthin and neoxanthin as lutein also requires the  $\beta$ -hydroxylase for its synthesis—instead the only option is for an increase in  $\beta$ -carotene. Given that carotenes are rarely, if ever, found in LHC complexes, turnover of unincorporated  $\beta$ -carotene could be reflected in the reduction in the total carotenoid pool.

The limit of 60% reduction in violaxanthin in one-third of the lines could either be the result of post-transcriptional regulation, inefficient inhibition of the second putative  $\beta$ hydroxylase gene product, or a degree of functional redundancy due to the ɛ-hydroxylase (Rissler & Pogson 2000). Considering the latter, the *Arabidopsis*  $\beta$ -hydroxylase enzyme does exhibit some  $\varepsilon$ -hydroxylase activity in *E. coli*  $\rightarrow$  and *lutl* accumulates some lutein (Pogson *et al.* 1996; Sun = et al. 1996). If the converse occurs, then the  $\varepsilon$ -hydroxylase  $\Box$  could be hydroxylating  $\beta$ -carotene in the antisense plants. - Currently, we are testing the three hypotheses by crossing  $\bigcup$  the most severe antisense lines into the *lutl* background to Odetermine whether or not a concomitant decrease in the  $\beta$  and  $\epsilon$ -hydroxylases will result in a further reduction in violaxanthin and neoxanthin. Clearly, many questions remain about the regulation of the pathway in vivo.

Chlorophyll content, Western blots of major LHCs and non-denaturing 'green gels' all consistently indicated that little alteration in antenna composition had occurred in the antisense β-hydroxylase plants (Rissler & Pogson 2000). This preservation of LHCs is in agreement with in vitro reconstitution data that show violaxanthin is preferred for optimal stability, but is not essential for folding except for Lhcb5 (Ros et al. 1998). Interestingly, in the most severe antisense  $\beta$ -hydroxylase plants the

chlorophyll a/b ratio was  $2.33 \pm 0.1$ , which was significantly lower than wild-type  $(2.85 \pm 0.28)$ . A possible explanation for the lower ratio is that there may be an increase in Lhcbl/2 trimers (Rissler & Pogson 2000), which have a chlorophyll a/b ratio of 1.3 (Peter & Thornber 1991; Bassi et al. 1993). Additionally, exposure of wild-type and antisense  $\beta$ -hydroxylase plants to high light showed that the pool of violaxanthin is tightly controlled to optimize LHC structure at the expense of availability of violaxanthin for de-epoxidation.

### (iii) Photoprotection

The xanthophyll cycle has been proposed to play a role in photoprotection in conjunction with LHC protonation and a functional PsbS protein (Walters et al. 1996; Niyogi 1999; Li et al. 2000). In the absence of zeaxanthin, quenching is greatly reduced (Niyogi et al. 1998). However, when zeaxanthin was reduced by 75% there was only a slight 16% reduction in the extent of NPQ. (Rissler & Pogson 2000) (figure 3). These results demonstrate that only a limited fraction of the total zeaxanthin in wild-type plants is required for optimal chlorophyll fluorescence quenching in vivo and are in agreement with studies on isolated thylakoids that suggested only a few zeaxanthin molecules are required (Gilmore et al. 1998). This would imply that in wild-type plants, more zeaxanthin is synthesized than is actually required for achieving maximal NPQ. The 'excess' zeaxanthin that is not directly involved in chlorophyll fluorescence quenching may contribute to other processes such as protection against lipid peroxidation (Havaux & Niyogi 1999).

Whenever zeaxanthin accumulation has been prevented, either by dithiothreitol treatment or a de-epoxidase mutation, reversible quenching was reduced but never eliminated (Gilmore & Yamamoto 1991; Niyogi et al. 1997, 1998). This residual component of the rapidly reversible quenching  $(q_{\rm E})$  is ca. 60% in Chlamydomonas and 14% in Arabidopsis (table 4). The two lutein deficient mutations of Arabidopsis and Chlamydomonas, lut2 and lor1, respectively, have delayed and reduced NPQ (Niyogi et al. 1997; Pogson et al. 1998). When they are crossed into the

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Figure 4. Non-photochemical quenching of chlorophyll fluorescence (NPQ) in wild-type (wt) and a plant overexpressing the  $\varepsilon$ -cyclase transgene (wt-BP244, T<sub>3</sub> generation), in which lutein levels were 130% of wild-type. See figure 3 for details.

npql background, the remaining rapidly reversible NPQ is essentially eliminated (table 4) (Niyogi *et al.* 1997, 2000). Additionally, when the antisense  $\beta$ -hydroxylase line that has a 16% reduction in NPQ is crossed into the *lut2* background, there is a further 34% reduction in NPQ (figure 3). Conversely, constitutively increasing the proportion of lutein in BP244 (figure 4) and zeaxanthin in *abal* (Pogson *et al.* 1998) increases the rate of induction of NPQ. Likewise, the delayed quench in *lut2* can be restored by constitutively accumulating either lutein or zeaxanthin.

Overexpressing the  $\varepsilon$ -cyclase resulted in a 31% reduction in the xanthophyll pool size but a more rapid induction of NPQ (table 4, figure 4), which is contrary to the antisense *β*-hydroxylase data where decreasing the xanthophyll cycle pool delayed induction (table 4, figure 3). Reducing the xanthophyll cycle pool therefore reduces quenching if lutein content is unaltered, but if the reduced xanthophyll cycle pool is replaced by a corresponding increase in lutein, the rate of induction is slightly and significantly accelerated (figure 4). This apparent paradox may be explained by the hypothesis that increasing lutein resulted in more 'free' lutein, which could substitute for zeaxanthin and participate in the initial phase of NPQ since most of the lutein would be tightly bound within the LHC (Kuhlbrandt et al. 1994) and probably not participate in NPQ.

### 4. CONCLUSIONS

Genetic manipulation of the  $\varepsilon$ -cyclase and  $\beta$ -hydroxylase has shown that it is possible to alter flux through the pathway and the carotenoid pool size by manipulating mRNA abundance of one gene. However, there appear to be limits to the extent that manipulating gene expression results in altered carotenoid accumulation. That is, there is a maximal increase of *ca*. 180% for lutein in the sense  $\varepsilon$ -cyclase lines and a maximal decrease of *ca*. 60% for violaxanthin in the antisense  $\beta$ -hydroxylase lines. How transcription is regulated and to what extent posttranscriptional processes—such as chaperone-mediated targeting, the proposed multi-enzyme complexes and incorporation into LHCs (Giuffra *et al.* 1996; Bonk *et al.* 1997; Cunningham & Gantt 1998)—affect accumulation remains to be determined.

The physiological relevance of xanthophylls and NPQ is exemplified by the bleaching phenotype that is observed in several carotenoid biosynthetic and NPQ mutants. In the absence of both zeaxanthin and lutein, Chlamydomonas cultures photobleach and mature Arabidopsis leaves senesce and photobleach under high light (Niyogi et al. 1997, 2000). There is a much less bleaching if only zeaxanthin is eliminated and there is residual NPQ. Also, photobleaching is not as extensive in npq4 mutants that exhibit a loss of NPQ without a change in xanthophyll biosynthesis (Baroli & Niyogi, this issue). These differences may reflect the role of xanthophylls in other processes in addition to NPQ, including photosystem stability, quenching of chlorophyll singlets and triplets, and protection against lipid peroxidation.

It is possible and photophysically feasible that lutein might participate in the quenching process, particularly during the first 30 s of high light prior to substantial zeaxanthin synthesis. Chlorophyll singlets are formed within seconds of high light exposure and intersystem crossing to form potentially damaging triplets occurs rapidly. An increase in lutein did increase NPQ levels during the first 30s and maximal NPQ was unaffected (figure 4). This increase in the early phase of NPQ was despite a decrease in the xanthophyll cycle pool size. Yet, a reduction in the xanthophyll cycle pool in the antisense hydroxylase lines caused a 16% reduction in maximal NPQ if lutein content was unaltered, and this decrease could be enhanced by another 34% if lutein was eliminated (figure 3). In fact, lutein deficiency has a deleteriously additive effect on NPO in a range of Arabidopsis and Chlamydomonas genotypes, including wild-type, npq1 (zeaxanthin deficient), antisense  $\beta$ -hydroxylase (xanthophyll cycle pool decreased) or abal (table 4). Questions remain concerning the number of lutein molecules contributing to NPQ (Gilmore 2000) and whether lutein directly or indirectly affects chlorophyll singlet quenching and interacts with PsbS. We still do not understand the mechanism of NPQ, but recent progress has enabled more specific questions to be addressed and has shown that it is indeed a physiologically relevant process.

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### Discussion

E. Hideg (Institute of Plant Biology, Biological Research Centre, Szeged, Hungary). In order to act as efficient triplet quenchers or singlet oxygen quenchers, carotenoids have to be close enough to chlorophylls or other potential sites of  $O_2$  production. When the carotenoid composition is altered, do pigments have the same localization as in the wild-type?

B. J. Pogson. That is a good question to which the answers are still being sought. It would appear that there are not dramatic changes to chlorophyll content and antenna function in the single mutants lut1, lut2 and aba1. Also, the antisense  $\beta$ -hydroxylase plants have a similar pigment content and Lhcp content as wild-type. Based on those results, I suspect there are no dramatic changes to the capacity to quench triplet chlorophylls in the mutants or transgenic lines that contain substantial levels of at least one wild-type xanthophyll. However, we require more detailed analyses to confirm this. I think the story will be more complex for xanthophyll-reduced and xanthophylldeficient lines.

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